

03.07.02

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03/05/02



Patent Application

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stefan Keller-Tuberg

 Entitled: **FACILITATING IP-BASED MULTICASTING CONTROL CONNECTIONS**

 Box PATENT APPLICATION  
 Assistant Commissioner of Patents  
 Washington, D.C. 20231

## CERTIFICATE OF MAILING BY EXPRESS MAIL

"EXPRESS MAIL" Mailing Label No. EL777885917USDate of Deposit: 03/05/2002
 I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to  
 Box PATENT APPLICATION  
 Assistant Commissioner of Patents  
 Washington, D.C. 20231
Type or Print Name Martha Rocha
  
 Signature

Dear Sir:

## REQUEST FOR FILING A NATIONAL PATENT APPLICATION

Transmitted herewith for filing, please find the following:

- ☒ 1. Specification, claims and abstract of the above-referenced patent application having 23 pages.
- ☒ 2. 9 sheet(s) of drawing(s) (☒ formal / ☐ informal) comprising Figures 1 through 9.
- ☒ 3. Declaration and executed Power of Attorney's (☒ signed ☐ unsigned).
- ☐ 3A. No filing fee, Oath, or Declaration is enclosed pursuant to 37 C.F.R. 1.53(d).
- ☐ 4. Information Disclosure Statement along with Form PTO-1449 and references.
- ☐ 5. This is a: ☐ Continuation-In-Part; ☐ Divisional; ☐ Continuation; ☐ substitute Application (MPEP 201.09) of Application Serial No. ☐ filed ☐; ☐ reissue of U.S. Patent No. ☐ filed on ☐.

An extension to extend the life of the above prior Application to at least the date of filing hereof

ALCATEL135907

Patent Application

(One box must be marked)

- (a) \_\_\_\_\_ is concurrently being filed in that prior Application,  
(b) \_\_\_\_\_ was previously filed in that prior Application,  
(c) \_\_\_\_\_ is not necessary for copendency.

X 6. Attached is an assignment to Alcatel, société anonyme. Please return the recorded assignment to the undersigned.

\_\_\_\_\_ 7. Priority is claimed under 35 U.S.C. § 119/120 based on filing in \_\_\_\_\_.

Application No.

Filing Date

- (1) \_\_\_\_\_  
(2) \_\_\_\_\_  
(3) \_\_\_\_\_

\_\_\_\_\_ (No.) Certified copy (copies) \_\_\_\_\_ are attached; or \_\_\_\_\_ were previously filed on \_\_\_\_\_.

X 8. Attached is Form PTO/SB/35 NonPublication Request Under 35 U.S.C. 122(b)(2)(B)(i).

\_\_\_\_\_ 9. Attached: \_\_\_\_\_ (No.) verified statement(s) establishing "small entity" status under 37 CFR § 1.9 and 1.27.

X 10. Attached:

X Return Postcard  
\_\_\_\_\_ (Other)

\_\_\_\_\_ 11. Preliminary Amendment:

Prior to a first Office Action, kindly amend the Application as follows:

# Patent Application

12. The following Filing Fee calculation is based on the claims filed less any claims canceled by the Preliminary Amendment of Item 11.

				SMALL ENTITY RATE		LARGE ENTITY RATE		
BASIC FEE				\$370	<u>OR</u>	\$740	=	\$ 740.00
	NUMBER FILED			NUMBER EXTRA				
TOTAL CLAIMS	37	-20	=	17 (at least 0)	x 9	<u>OR</u>	x 18	= \$ 306.00
INDEP. CLAIMS	3	-3	=	0 (at least 0)	x 42	<u>OR</u>	x 84	= \$ 00.00
If any <u>proper</u> multiple dependent claim (ignore improper) is present (Enter \$0.00 if this is a <u>reissue</u> application.)								
					+\$135	<u>OR</u>	+\$270	= +\$_____
If assignment is x'd (item 6), add recording fee \$40.00								+\$ 40.00
Attached is a Rule 47 Petition (inventor refuses to sign or cannot be reached) \$130								
TOTAL FILING FEE								+\$ 00.00
								=\$ 1086.00

13. A check in the amount of      to cover the Filing Fee calculated in Item 11 is attached. Please charge any deficiency or credit any overpayment to Simon, Galasso & Frantz PLC Deposit Account No.                     .
- X   14. Please charge SIMON, GALASSO & FRANTZ PLC, Deposit Account No. 50-1259 in the amount of \$1,086.00 the Filing Fee calculated in Item 12. This sheet is attached in duplicate.
15. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and may be required under 37 CFR 1.16-1.18 (missing or insufficiencies only) now or hereafter relative to this application and for the resulting Official Document under 37 CFR 1.20, and to have and cause any necessary petition for extension of time to be filed and any fees necessary to be paid for said extension of time OR credit any overpayment to SIMON, GALASSO & FRANTZ PLC Deposit Account No.                     , for which purpose a duplicate copy of this sheet is attached. **The Commissioner is not authorized to charge the issue fee until/unless an issue fee transmittal form is filed.**

Patent Application



24587

PATENT TRADEMARK OFFICE

X 16. Customer Number or Bar Code Label

Respectfully submitted,  
Stefan Keller-Tuberg

By:

David O. Simmons  
Reg. No. 43,124

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Stefan Keller - Tuberg
Title	FACILITATING IP-BASED MULTICASTING CONTROL CONNECTIONS
Atty Docket Number	ALCATEL 135907

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

3/5/02  
Date

  
Signature

David O. Simmons  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**